

#15 DAC#
Attorney Docket No.
54964.8214.US04

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C., 20231, on:

Date: 4/10/03

Print Name of Person Mailing: Michelle C. Jackson

Signature: [Signature]

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: NORDSTROM, Jeff *et al.*

APPLICATION No.: 09/754,014

FILED: January 3, 2001

FOR: GENE EXPRESSION AND DELIVERY SYSTEMS
AND USES

EXAMINER: Sullivan, Daniel M.

ART UNIT: 1636

RECEIVED
APR 16 2003
OFFICE OF PETITIONS

CORRECTION OF ERROR IN SMALL-ENTITY STATUS AND PAYMENT OF DEFICIENCIES OWED IN ISSUE FEE PAID PURSUANT TO 37 CFR 1.28(c)(1) AND (c)(2)

Box Issue Fee
Assistant Commissioner for Patents
Washington, D.C. 20231

04/16/2003 AWDHDAF1 00000021 500665 09754014

| | |
|------------|-----------|
| 01 FC:1001 | 750.00 OP |
| 02 FC:1051 | 130.00 OP |
| 03 FC:1201 | 588.00 OP |
| 04 FC:1202 | 432.00 OP |
| 05 FC:1253 | 465.00 CH |

Dear Sir:

Applicants have erroneously submitted payment of the three-month extension fee and the filing fee for the above-identified patent application as a small entity. The original small entity status was established in good faith and fees as a small entity were paid in good faith.

Applicants have later discovered that such status as a small entity was established in error due to a licensing agreement of the application with a non-small entity. The deficiency owed, resulting from the previous erroneous payment of small entity, is itemized as set forth below:

CALCULATION AND ITEMIZATION OF DEFICIENCY OWED:

| Type of Fee | Current fee for Non-Small Entity | Small Entity Fee Actually Paid | Deficiency Owed |
|---------------------------|----------------------------------|--------------------------------|-----------------|
| Filing Fee | 1900 | 916 | 984 |
| Three Month Extension Fee | 930 | 465 | 465 |


| | | | |
|-----------------------|--|--|------|
| Total Deficiency Owed | | | 1449 |
|-----------------------|--|--|------|

PAYMENT OF DEFICIENCY OWED:

- ☒ A check in the amount of \$1449.00 is enclosed to cover the above fee(s).
- ☐ Please charge Deposit Account No. 50-0665 in the amount of _____.
- ☒ The Commissioner is authorized to charge any underpayment for timely filing of this Transmittal to Deposit Account No. 50-0665 and to credit any overpayment by check to said Deposit Account No. 50-0665.

Respectfully submitted,
Perkins Coie LLP

Dated: 04/10/03

By: 
James J. Zhu, Ph.D.
Reg. No. 52,396



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Transaction List

Search by

☐ Accounting Date: Operator ID:
(MMDDYY)

Accounting Date



☒ Name/Number:

Starts:

Ends:

☐ Attny Docket No:

☐ Deposit Account No.:

| Accounting Date | Operator ID | Seq. No. | Txn Src | Fee Code | St | Amount | Name/Number | Dep Acct |
|-----------------|-------------|----------|---------|----------|----|--------|-------------|----------|
| 04/04/2003 | JBALINAN | 26 | SALE | 1806 | A | 180.00 | 09754014 | |
| 02/10/2003 | MBIZUNES | 122 | SALE | 2253 | A | 465.00 | 09754014 | 500665 |
| 07/24/2001 | KZEWDIE | 148 | SALE | 203 | A | 216.00 | 09754014 | |
| 07/24/2001 | KZEWDIE | 147 | SALE | 202 | A | 280.00 | 09754014 | |
| 07/24/2001 | KZEWDIE | 146 | SALE | 205 | A | 65.00 | 09754014 | |
| 07/24/2001 | KZEWDIE | 145 | SALE | 201 | A | 355.00 | 09754014 | |



Adjustment Detail

Authorization Detail



Adjustment date: 04/16/2003 AMONDAF1
07/24/2001 KZEWDIE 00000065 09754014
01 FC:201 -355.00 OP ✓
02 FC:205 -65.00 OP ✓
03 FC:202 -280.00 OP
04 FC:203 -216.00 OP

Adjustment date: 04/16/2003 AMONDAF1
02/10/2003 MBIZUNES 00000097 500665 09754014
01 FC:2253 465.00 CR